

PETERBOROUGH CITY COUNCIL
AUDIT REVIEWS UNDERTAKEN DURING PREVIOUS 3 YEARS

2023/24 (includes reviews in progress)	2022/23	2021/22
Annual Governance and Assurance		
Annual Governance Statement Review Annual Investigations Report Annual Audit Opinion (2022/23) Annual Audit Plan and Strategy Internal Audit Effectiveness Assurance Framework	Annual Governance Statement Review Annual Investigations Report Annual Audit Opinion (2021/22) Annual Audit Plan and Strategy Internal Audit Effectiveness	Annual Governance Statement Review Annual Investigations Report Annual Audit Opinion (2020/21) Annual Audit Plan and Strategy Internal Audit Effectiveness Audit Committee Effectiveness / Support Shareholder Cabinet Committee
Corporate Governance and Risk Management		
Risk Management (Management Board and Strategic Review) Health and Safety external assurance	Risk Management (Management Board and Strategic Review) Risk Management – Follow Up	Risk Management (Management Board and Strategic Review) Risk Management (Shared Service) Shareholder Cabinet Committee – Follow Up
Information Governance		
Data Breach Investigation Email Review Eye Primary School – IT breach	Information Governance (Shared Service Strategic Board) Cyber Security - Follow Up PCI Compliance	Information Governance (Shared Service Strategic Board) Cyber Security Remote Working – Data Protection

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Anti- Fraud Culture and Investigations		
National Fraud Initiative Anti-Fraud Policies Payroll- HR overpayment investigation	National Fraud Initiative Anti-Fraud Policies Fraudulent Payment Claim	National Fraud Initiative Anti-Fraud Policies
Financial Systems		
Employee Car Leasing Scheme Payroll Financial System development (Payroll/HR, Procurement) Procurement Card Council Tax Treasury Management	NNDR Sundry Debtors - Follow Up	Procurement Card System Follow-up Energy Management Follow up Payroll and Pension Processes Sundry Debtors Sundry Debtors Follow up Waste Collection Refunds Mosaic Payment Controls
Contracts and Procurement		
Care and Repair contract PARCA contract Milestone contract Supplier Verification Processes	Drug and Alcohol Treatment	Milestone Infrastructure Ltd Contract Approvals (previously Skanska) Integrated Community Equipment Service (CCC Lead)

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Projects and Programme Management		
Integrated Transport System	Towns Funds Project Ukraine Support Fund Spectrum Replacement Project Back Up Protocols Project	Payment Data Analysis Programme and Project Management Town Fund Deal Town Fund Assurance Certification Middleholme Project Disaster Recovery Project Digital Signatures Project
External Organisations		
City College RSM work??		City Culture Peterborough External Bodies Oversight – Shareholder Cabinet Committee Newborough Parish Council

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Service Delivery		
Children’s Services – Agency Staff Climate Change	Business Continuity – Departmental Review Teachers Pensions – Premature Requirement Project Mobile Phone Contract EE Tech Fund Absence Management Follow up Energy Management	Business Continuity – Follow Up and Operational Risk Registers Teachers Pensions – Premature Retirement F/up Financial Resilience – Business Improvement Plan Working Group Safeguarding Clients Assets (shared Service) Regional Adoption Agency (shared service) Absence Management Absence Management Follow up IT Asset Management Follow up Payments in Lieu of Notice ITSM System Approval Process

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Grants and Other Certification		
Highways and Infrastructure Grants (4) Traffic Signals Maintenance CPCA Transport Schemes Disabled Facilities Homelessness Prevention Grant Rough sleeper initiative Household Support Fund Supporting Families Mayor’s Charities Fund	Highways and Infrastructure Grants (4) Traffic Signals Maintenance CPCA Funded Schemes Protect and Vaccinate Grant PIRI Project Homelessness Prevention Grant Rough Sleeper Initiative Drug Treatment Universal Grant Scheme Mayors Charity Fund Supporting Families Dedicated Schools Grants Post 16 Young People Funding 2021-22 Education Capital Grants Hampton Hargate School NCTL COVID-19 Contain Outbreak Management Fund COVID-19 City College Arts Cultural Recovery Fund – Rounds 3 & 4 COVID-19 Test and Trace Support Payments	Highways and Infrastructure Grants (5) Bus Service Operations Home to School Transport Additional Funding Disabled Facilities Connecting Families Mayors Charity Fund Emergency Active Travel Grant Hampton Hargate NCTL Grant COVID-19 Compliance and Enforcement COVID-19 Business Support Grant Schemes COVID-19 City College Arts Cultural Recovery Fund – Round 1 & 2

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